

**Headline:** List of securities which fulfilled the market surveillance criteria

**Security Symbol:** AJD, CRANE, EIC, LDC, MAI, SET, TKN, TPOLY

Announcement Details

<b>Level 1 : Cash Balance</b>			
Security	Symbol	Start date	End date
CHU KAI PUBLIC COMPANY LIMITED	CRANE	29-Aug-2016	07-Oct-2016
ELECTRONICS INDUSTRY PUBLIC COMPANY LIMITED	EIC	29-Aug-2016	07-Oct-2016
Warrant of ELECTRONICS INDUSTRY PUBLIC COMPANY LIMITED No. 1	EIC-W1	29-Aug-2016	07-Oct-2016
Warrant of ELECTRONICS INDUSTRY PUBLIC COMPANY LIMITED No. 2	EIC-W2	29-Aug-2016	07-Oct-2016
Warrant of ELECTRONICS INDUSTRY PUBLIC COMPANY LIMITED No. 3	EIC-W3	29-Aug-2016	07-Oct-2016
LDC DENTAL PUBLIC COMPANY LIMITED	LDC	29-Aug-2016	07-Oct-2016
THAI POLYCONS PUBLIC COMPANY LIMITED	TPOLY	29-Aug-2016	07-Oct-2016
Warrant of THAI POLYCONS PUBLIC COMPANY LIMITED No. 2	TPOLY-W2	29-Aug-2016	07-Oct-2016
Extended Period			
CROWN TECH ADVANCE PUBLIC COMPANY LIMITED	AJD	29-Aug-2016	16-Sep-2016
Warrant of CROWN TECH ADVANCE PUBLIC COMPANY LIMITED No. 1	AJD-W1	29-Aug-2016	16-Sep-2016
Warrant of CROWN TECH ADVANCE PUBLIC COMPANY LIMITED No. 2	AJD-W2	29-Aug-2016	16-Sep-2016
TAOKAENOI FOOD & MARKETING PUBLIC COMPANY LIMITED	TKN	29-Aug-2016	16-Sep-2016
<b>Level 2 : Excluded from credit limit and Cash Balance</b>			
Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-
<b>Level 3 : Prohibit Net settlement, Excluded from credit limit and Cash Balance</b>			
Security	Symbol	Start date	End date
-	-	-	-

Extended Period

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Remark:

1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
2. Excluded from credit limit means the member must not use the security as collateral in the calculation of the customer's credit line in all types of account.
3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio, the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.